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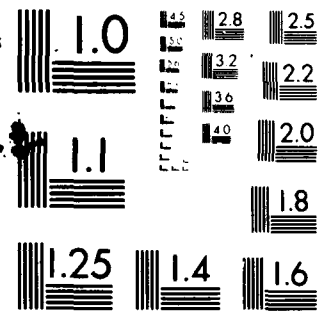
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AN ALGORITHM SCHEMA FOR MINIMIZING  
BROADCAST TRANSMISSIONS IN PACKET RADIO NETWORKS

By

Bahaa W. Fam

DECEMBER 1981

Prepared for

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DEPUTY FOR COMMUNICATIONS AND INFORMATION SYSTEMS  
ELECTRONIC SYSTEMS DIVISION  
AIR FORCE SYSTEMS COMMAND  
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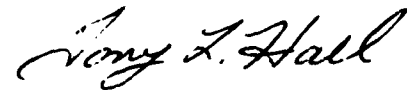
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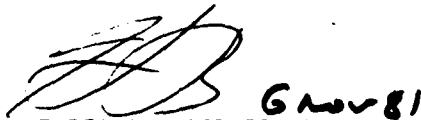
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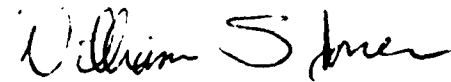
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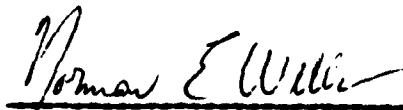


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20. (Concluded)

Existing optimal algorithms are exponentially bounded in complexity.

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## SECTION 1

### INTRODUCTION

In time distributed multiple access (TDMA) communication networks it is often desirable to reach a large community of users through the broadcast transmission of information. Due to the TDMA architecture, those units participating in the transmission or reception of information packets are restricted from participating in other communication activities (on other channels) during that period. As a result of this the utilization of many relay units in a broadcast tree wastes a considerable quantity of system capacity. It would therefore be desirable to reduce, or minimize, the number of relay units used in a broadcast network. From this we can define the following problem to be considered:

Problem: Minimize the number of broadcast transmissions required to disseminate a packet of information to a community of users on a multi-relay TDMA network so that the relay delay to reach any user in the community is minimal.

The algorithm schema presented in this paper will solve this problem.

In addition to minimizing the total number of relay transmissions required to reach some community of users, it is also important that the information be disseminated in a timely manner. Thus it is desirable to minimize the delay, therefore to minimize the number of relay transmissions required to reach any member in the community. It is this objective that stipulates that the shortest path (with respect to relay "hops") from the originator to every member of the user community be known in advance. The algorithms presented are intended to be processed by a network control facility which has full knowledge of system connectivity.

Two algorithms are utilized in the schema where algorithm  $\beta$  is embedded in algorithm  $\alpha$ .

The definitions needed to support a more formal representation of the problem will be given in the next section. The remainder of this paper will consider the problem and solution techniques in graph (set) theoretic terms with analogies to the communication problem previously defined.

## SECTION 2

### PROBLEM DEFINITION

In this section we will present a formal (mathematical) definition of the communication problem given in the introduction to this paper.

A number of other definitions will be required for the statement of the formal problem.

Definition 1: A graph  $G(V, E)$  is an ordered pair of disjoint sets  $V, E$  such that  $E \subseteq V^2$  and  $V \neq \emptyset$ , where  $V$  is a set of vertices and  $E$  a set of edges.<sup>1</sup> [Bollobas, Pg xiii]

An edge, which is an element of set  $E$ , is denoted by its endpoints; thus if an edge connects vertices  $x$  and  $y$  it would be denoted as edge  $\bar{xy}$ . We can also state then that edge  $\bar{xy}$  is adjacent

to vertex  $x$  and to vertex  $y$  and if  $\overline{xy} \in E$  we can then state that  $x$  and  $y$  are adjacent vertices. Additionally we may stipulate that an edge be directed, hence giving an orientation to the vertices that constitute its endpoints. A directed edge,  $\overrightarrow{xy}$ , would have a source  $x$  and a terminus  $y$ . This implies that if  $G(V, E)$  represents a network, then  $\overrightarrow{xy}$  is a branch in the network along which a flow may pass from  $x$  to  $y$  but not from  $y$  to  $x$ . A directed edge  $\overrightarrow{xy}$  in a graphical representation of a communication network would imply that  $y$  could hear  $x$ 's transmissions but  $x$  could not receive messages transmitted by  $y$ .

Definition 2: A subgraph  $G'(V', E')$  of some graph  $G(V, E)$  is a graph in which  $V' \subseteq V$  and  $E' \subseteq E$ .

From this definition it is clear that every graph  $G(V, E)$  has at least one subgraph.

Definition 3: A graph  $G(V, E)$  is said to be connected if for every  $v_i, v_j \in V$  ( $i \neq j$ ) there exists at least one path (set of adjacent vertices) from  $v_i$  to  $v_j$ .

Definition 4: A spanning subgraph  $\tilde{G}(\tilde{V}, \tilde{E})$ , on a vertex set  $V^0 \subseteq V$ , of  $G(V, E)$  is a graph such that  $V^0 \subseteq \tilde{V}$  and  $\tilde{E} \subseteq E$ , where  $\tilde{G}(\tilde{V}, \tilde{E})$  is connected.

Recall that the cardinality of a finite set of distinct elements  $S$  is the measure of the discrete elements in the set. Thus if some set  $S$  contained  $n$  discrete elements then  $\text{cardinality} \left[ S \right] = n$ .

Definition 5: A minimal spanning subgraph  $\tilde{G}(\tilde{V}, \tilde{E})$  on a vertex set  $V^0 \subseteq V$  is a subgraph of  $G(V, E)$  where  $V^0 \subseteq \tilde{V}$  and  $\tilde{E} \subseteq E$  such that  $\tilde{G}(\tilde{V}, \tilde{E})$  is connected and that there exists no  $\hat{G}(\hat{V}, \hat{E})$  where

$$\text{cardinality} \left[ \hat{E} \right] < \text{cardinality} \left[ \tilde{E} \right]$$

and where  $\hat{G}(\hat{V}, \hat{E})$  satisfies the same conditions on  $V^0$ .

Definition 6: Given a vertex  $v_i \in V$  of a graph  $G(V, E)$  the outdegree,  $d^+(v_i)$  is a measure of the number of directed edges  $\overrightarrow{v_i v_j}$  ( $j \neq i$ ) in the set of edges  $E$ .

Definition 7: Given a vertex  $v_i \in V$  of a graph  $G(V, E)$ , the indegree,  $d^-(v_i)$ , is a measure of the number of directed edges  $\vec{v_j v_i}$  ( $i \neq j$ ) in the edge set  $E$ .

We will now define another operator of measure on the sets  $V, E$  defining a graph  $G(V, E)$ .

Definition 8: Let  $\Omega[G(V, E)]$  represent the number of vertices  $v_i \in V$  where  $d^-(v_i) > 0$  and  $d^+(v_i) > 0$ . More formally:

$$\Omega[G(V, E)] = \text{card} \left[ \left\{ v_i \in V \mid d^+(v_i), d^-(v_i) > 0 \right\} \right]$$

The shortest path from any  $v_i \in V$  to any  $v_j \in V$  ( $v_i \neq v_j$ ) shall be denoted as  $P_{ij}$ .  $P_{ij}$  shall then be an ordered set of vertices  $v_i, \dots, v_k, v_1, \dots, v_j$  where the existence of any two consecutive vertices  $v_k v_1$  in  $P_{ij}$  implies that a directed edge  $\vec{v_k v_1}$  is contained in the shortest path  $P_{ij}$ .

Let  $G(V, E)$  represent a communications network where  $V$  is the set of terminals in the network, then any edge  $\vec{v_i v_j} \in E$  implies that  $v_j$  can receive transmissions from  $v_i$ .

Let  $S$  denote the source (originator) in a given broadcast network and define a subgraph  $\hat{G}(\hat{V}, \hat{E})$  as the subgraph showing the union of the shortest paths (defined by vertices) from  $S$  to all users in a community of interest,  $V^0$  and all adjacent edges to  $\hat{V} : \hat{E}$ .

$$\hat{G}(\hat{V}, \hat{E}) = G \left( \left| v_i \in P_{sj} \quad \forall v_j \in V^0 \right|, \left| \overrightarrow{v_k v_1} \in P_{sj} \quad \forall v_j \in V^d \right| \right)$$

We can state the communication problem presented in the previous section as:

Problem  $\Phi_1$  Find the minimal spanning subgraph  $\tilde{G}(\tilde{V}, \tilde{E})$  of the subgraph  $\hat{G}(\hat{V}, \hat{E})$  on the vertex set  $| V^0 \cup S |$ .

We now add the constraint that in  $\tilde{G}(\tilde{V}, \tilde{E})$  the path  $P_{sj}$  from  $s$  to any  $v_j \in V^0$  must be such that

$$\text{cardinality} \left[ \tilde{P}_{sj} \right] = \text{cardinality} \left[ P_{sj} \right]$$

thus retaining the minimal distance from any  $v_j \in V^0$  to the source defined by the set of shortest paths  $| P_{sj} \quad \forall v_j \in V^0 |$  given on  $\hat{G}(\hat{V}, \hat{E})$ .

Finally we state the condition of optimality:  $\tilde{G}(\tilde{V}, \tilde{E})$  is such that ~~that~~ minimal spanning graph  $\hat{G}(\hat{V}, \hat{E})$  which satisfies the same conditions and where:

$$\mathcal{Q} \left[ \tilde{G}(\tilde{V}, \tilde{E}) \right] > \mathcal{Q} \left[ \hat{G}(\hat{V}, \hat{E}) \right]$$



The full problem is given below.

Problem  $\phi_2$ : Find  $\tilde{G}(\tilde{V}, \tilde{E})$  such that:

1.  $v^0 \subseteq \tilde{V}$
2.  $\text{cardinality } [\tilde{P}_{sj}] = \text{cardinality } [P_{sj}] \quad \forall v_j \in v^0$   
 where  $P_{sj}$  is the shortest path from  $S$  to  $v_j$  defined on  $\hat{G}(\hat{V}, \hat{E})$
3.  $\tilde{G}(\tilde{V}, \tilde{E})$  is a minimal spanning subgraph of  $G(V, E)$  on the vertex set  $|v^0 \cup S|$
4.  ~~$\hat{G}(\hat{V}, \hat{E})$~~  which satisfies the constraints 1, 2, 3 and is such that

$$\Omega[\hat{G}(\hat{V}, \hat{E})] < \Omega[\tilde{G}(\tilde{V}, \tilde{E})]$$

Note that if any  $v_j$  is deleted from some set  $V$ , then all edges  $v_j v_k$  ( $v_k \neq v_j$ ) are no longer contained in the set  $E$ . Thus if

$$V = V - v_j \text{ then } E' = E - v_j v_k \quad \forall v_j \neq v_k.$$

Having defined the problem we can present the algorithm schema to solve it in the following sections.

### SECTION 3

#### ALGORITHM $\alpha$

This algorithm is designed to solve the problem  $\phi_2$  stated in the previous section. If not for the constraint:

$$\text{card} [\tilde{P}_{sj}] = \text{card} [P_{sj}] \quad \forall_j | v_j \in v^o$$

an existing class of algorithms could be applied on  $\hat{G}(\hat{V}, \hat{E})$  to obtain a minimal spanning tree. Algorithm  $\alpha$  preserves the shortest path characteristics on  $\hat{G}(\hat{V}, \hat{E})$  hence is not contained in the general class of minimal spanning tree algorithms.

This algorithm (algorithm  $\alpha$ ) utilizes another algorithm within its structure, which will be defined in the next section of this paper.

What algorithm  $\beta$  does is to find the minimal vertex cover on a bipartite graph. For the present we will only present one definition to explain the result of algorithm  $\beta$  when applied to some graph.

Definition A bipartite graph  $G(Y, X)$  is a graph where any  $v_i, v_j \in E$  is such that  $v_i \in X$  and  $v_j \in Y$  and  $X \cap Y = \emptyset$ ,  $X \cup Y = V$

Algorithm  $\beta$  when applied to a bipartite vertex set  $(Y, X)$  finds  $X^\diamond \subseteq X$  where  $X^\diamond$  is such that there is at least one vertex in  $X^\diamond$  adjacent to any vertex in  $Y$ . Thus if  $X^\diamond$  is such that  $\nexists X''$  where

$$\text{card} [X''] < \text{card} [X^\diamond]$$

where  $X''$  satisfies the same conditions,  $X^\diamond$  is called minimal cover of  $Y$ .

We say algorithm  $\beta$  finds a reduced cover  $X^\dagger$  because in its present form there exists a small class of examples where  $X^\dagger$  is not a minimal cover. Algorithm  $\beta$  is therefore not optimal by definition.

In the formulation of algorithm  $\alpha$  that will be presented, algorithm  $\beta$  will be utilized in the form of an operator. The step

$$X_{i-1} = \text{algo } \beta [Y, X_i]$$

indicates then that  $X_{i-1}$  is the reduced cover of the bipartite graph  $G(Y, X_i)$  generated by algorithm  $\beta$ .

We need the following definition.

Definition:  $\Gamma(v_i)$  is the set of vertices adjacent to some vertex  $v_i$ .  $\Gamma(v_i, X)$  is the set of vertices in  $X$  adjacent to  $v_i$ .  $\Gamma(Y, X)$  is the set of vertices in set  $X$  adjacent to the vertices in set  $Y$ .

The following information must be known prior to executing algorithm  $\alpha$ .

1. Adjacency Matrix of the graph  $G(V, E)$
2. The source  $S$ , the set  $V^0$
3. The set of shortest paths  $P = \left\{ p_{sj} \mid v_j \in V^0 \right\}$

We must define two set operators, one of which will be utilized within the structure of algorithm  $\alpha$ .

Operator  $\psi$  : If  $P_{ij}$  is an ordered set of vertices then  $\psi(P_{ij}, v_k)$  is the position of  $v_k$  in the ordered set  $P_{ij}$ . Thus in the ordered set  $P_{ij} = (v_i, A, B, C, v_j)$ ,  $\psi(P_{ij}, A) = 2$

Operator  $T$  : If  $P_{sj}$  is the set of vertices defining a shortest path from  $s$  to  $v_j$  on some graph  $G$ , and  $P$  is the set of sets :

$\{P_{sj}, \forall v_j \in v^0\}$  then

$$T(P, n) = \left\{ \left\{ v_i \in P_{sj} \mid \psi(P_{sj}, v_i) = n \right\} \mid \forall P_{sj} \in P \right\}$$

The variables utilized in the structure of algorithm  $\alpha$  are given below.

$\theta$  : The length of the longest path in  $P$ .

$B_{i-1}$  This constitutes the set of vertices that are elements of  $v^0$  which have a shortest path from the source of length  $i-1$ .

$A_i$  : This is the set of vertices at iteration (relay level)  $i$  which must be covered by the set  $T(P, i-1) \cup B_{i-1}$ .

$Z_{i-1}$  This is the set of vertices not in the set  $B_{i-1}$  that will partially constitute the minimal cover of  $A_i$ .

$T_i$ : The set of terminals who are to relay a message at level  $i$   
(definition applies to communication example only).

$R_{i-1}$ : The set of terminals who are to receive a message  
transmitted from the terminals in the set  $T_{i-1}$  (definition applies to  
communication example only).

Two formulations of algorithm  $\alpha$  will be presented. The first  
algorithm  $\alpha_1$  will solve the communications problem stated in the  
introduction by specifying (using  $T_i, R_{i-i}$ ) the terminals who are to  
receive and transmit at every relay level.

Algorithm  $\alpha_2$  is less complex in its structure and solves the  
problem  $\phi_2$  given in the previous section.

Prior to the formal presentation of the two algorithms, a less exact  
formulation of algorithm  $\alpha_1$  will be given.

Algorithm  $\alpha_1$

We wish to solve the communication problem stated in the first section. Our objective is to minimize the number of terminals that must transmit and receive at any relay level such that a packet of information transmitted from the source will reach a prespecified community of interest,  $V^0$ , with minimal delay.

0. Based upon knowledge of  $P$ , the set of shortest paths on the network, find the length of the longest of the shortest paths from the source to a terminal in the set  $V^0$ . Let  $\theta$  equal this distance.
1. Set the iteration counter  $i$  to  $\theta$ .
2. Compute the set  $A_\theta$  as the set of terminals in  $V^0$  that have shortest paths to the source of length  $\theta$ .
3. Determine the set  $B_{i-1}$ , the set of terminals in  $V^0$  whose shortest paths to the source are of length  $i-1$ .
4. Apply algorithm  $\beta$  to find the minimal cover of the set of terminals in  $A_i$  that are not covered by the set of terminals in  $B_{i-1}$ .

5. Find the minimal cover of the terminals in  $\Gamma(B_{i-1}, A_i)$ , the set of terminals in  $A_i$  that are covered by the set  $B_{i-1}$  (but not necessarily minimally covered). The minimal cover will be a subset of the set  $B_{i-1}$ . It is important to note that although every terminal in  $B_{i-1}$  must receive an information packet we wish to minimize the number of terminals that must retransmit it. This is why we apply algorithm  $\beta$  in this step.
6. Determine the union of the set of terminals that must transmit at relay level  $i$  which are in  $V^0$  and those that must transmit at this level and are not (these are the set that must complete the minimal cover of  $A_i$ ). Call the set comprising this union  $T_i$ .
7. Determine the union of  $B_{i-1}$  and those terminals not in  $B_{i-1}$  that complete the minimal cover of  $A_i$ . This is the set of terminals that must receive a packet of information at relay level  $i-1$ . Call this set  $R_{i-1}$ .
8. Let the set  $A_{i-1}$  be defined as the set  $R_{i-1}$  and let this constitute the set of terminals that must be covered in the next iteration of the algorithm.
9. Decrement the counter (set  $i=i-1$ ) and if  $i=2$  after being decremented then STOP. Otherwise go to step 3 and continue.

# Algorithm $\alpha_1$

0.  $\theta = \text{Max} \left[ \text{card} \left[ P_{sj} \right], V_j \mid v_j \in V^0 \right]$
1.  $i = \theta$
2.  $A_\theta = \left\{ v_j \mid v_j \in V^0, \text{card} \left[ P_{sj} \right] = \theta \right\}$
3.  $B_{i-1} = \left\{ v_j \mid v_j \in V^0, \text{card} \left[ P_{sj} \right] = i-1 \right\}$
4. Algorithm  $\beta \left[ (A_i - \Gamma(B_{i-1}, A_i)), (T(P, i-1) - B_{i-1}) \right] = Z_{i-1}$
5. Algorithm  $\beta \left[ \Gamma(B_{i-1}, A_i), B_{i-1} \right] = Y_{i-1}$
6.  $T_i = Z_{i-1} \cup Y_{i-1}$
7.  $R_{i-1} = Z_{i-1} \cup B_{i-1}$
8.  $A_{i-1} = Z_{i-1} \cup B_{i-1}$
9.  $i = i-1$ , if  $i > 2$  go to 3



Algorithm  $\alpha_2$

0.  $\theta = \text{Max} \left[ \text{card} [P_{sj}] , \forall v_j \in v^0 \right]$
1.  $i = \theta$
2.  $A_\theta = \left\{ v_j \mid v_j \in v^0, \text{card} [P_{sj}] = \theta \right\}$
3.  $B_{i-1} = \left\{ v_j \mid v_j \in v^0, \text{card} [P_{sj}] = i-1 \right\}$
4.  $\text{Algorithm } \beta \left[ A_i - \Gamma(B_{i-1}, A_i), (T(P, i-1) - B_{i-1}) \right] = Z_{i-1}$
5.  $A_{i-1} = B_{i-1} \cup Z_{i-1}$
6.  $i=i-1$ , if  $i > 2$  go to 3

The computational complexity of algorithms  $\alpha_1$ ,  $\alpha_2$  is of order  $(V^4)$  based on the argument that algorithm  $\beta$  has complexity  $O(V^3)$ . More exactly the complexity of algorithms  $\alpha_1$  and  $\alpha_2$  is

$$\theta \left[ \text{Order}(\text{algorithm } \beta) \right]$$

where  $\theta$  is the length of the longest shortest path in the network. In reality  $\theta \ll V$ , thus we can realistically say  $\theta$  is relatively constant with respect to  $V$ , therefore for practical purposes we can say the complexity of algorithms  $\alpha_1$  and  $\alpha_2$  is of order  $(V^3)$ . Algorithm  $\beta$  is polynomially bounded thus showing an improvement over the exponentially bounded algorithms previously developed for solving minimal cover problems.

## SECTION 4

### ALGORITHM $\beta$

It was shown in the previous section that algorithm  $\beta$ , required to solve the minimal cover problem, is embedded in the structure of algorithm  $\alpha$ . In the communication example presented, algorithm  $\beta$  would determine the minimal number of terminals at relay level  $i$  that would have to transmit a packet so that every terminal at level  $i + 1$  would receive a transmission. The related problem in graph theory is known as the minimal vertex cover problem.

### INTRODUCTION

The problem of finding the minimal cover set  $X^0 \subseteq X$  in a bipartite graph  $G(X, Y)$  has been characterized as Steiner's second problem (<sup>2</sup>HAKIMI 1). In the past a number of algorithms have been developed to solve this problem but none have achieved a polynomial bound. Previous attempts at solutions (<sup>3</sup>BERGE, <sup>4</sup>HAKIMI) have been based on Boolean techniques and require an exponential number of computations. A second approach, utilizing 0-1 integer programming has been attempted but with a similar theoretic bound and is only applicable to small problems.

In this paper we will present an algorithm to solve the reduced cover problem with a polynomial number of computations. The solution to this problem (<sup>4</sup>HAKIMI) is believed to hold the key to a variety of cover problems posed by Steiner and known as problems 3, 4, 5. The interrelationship between these problems has been shown by Hakimi.

Algorithm  $\beta$  can be claimed only to solve Steiner's second problem heuristically because in its present form it fails to find an optimal (minimal) cover for a small class of counterexamples. Even in the heuristic form it is of significance in the communications field as in all cases it will find a near optimal cover.

The algorithm will first be presented informally and will, later in this section, be given in a more exact form.

No definitions beyond those presented in the previous section will be necessary for either formulation of this algorithm.

### ALGORITHM $\beta$

\*Assume we wish to find a minimal cover :  $X^\phi \subseteq X$  for a set of vertices  $Y$  in a bipartite graph  $G(X, Y)$ .

0. Initialize the working sets to be utilized in the algorithm.

Set:  $Y^* = Y$   
 $X^* = X$   
 $X^\phi = \emptyset$

1. Find which vertex in  $Y^*$  has the fewest adjacent vertices in  $X$ , let this be  $\tilde{y}^*$ . If more than one exists choose one at random.

2. Remove  $\tilde{y}^*$  from  $Y^*$

3. If  $\tilde{y}^*$  has greater than one vertex adjacent to it, then go to step 3B else do 3A.

3A. - Let vertex that is adjacent to  $\tilde{y}^*$ , call this  $z$ , enter the minimal cover set  $X^\phi$ , remove it from  $X^*$ .

- Let the set of vertices  $\Gamma(z)$  be removed from  $Y^*$

- Go to step 4.

3B. This step is selected only if  $\tilde{y}^*$  has greater than one vertex in  $X^*$  adjacent to it. In this case we apply a weighting scheme to determine which vertex in  $\Gamma(\tilde{y}^*)$  should be selected for the minimal cover set.

Assign a weight to every  $x_i \in \Gamma(\tilde{Y}^*)$  according to the following scheme:

Let  $x_i(c)$ , the weight of  $x_i \in \Gamma(\tilde{Y}^*)$  be equal to the sum (for all  $y_j \in \Gamma(x_i)$ ) of:

$$\left( \text{Card} \left[ X^* \right] - \text{card} \left[ \Gamma(y_j) \right] \right)$$

- Thus  $x_i$  is given a value according to how many vertices in  $Y^*$  it is adjacent to and inversely proportional to the number of vertices in  $X^*$  each of these are adjacent to.

- Select the vertex in  $\Gamma(\tilde{Y}^*)$  with the maximal weight. If more than one of the vertices have the same maximal weight, select one at random. Let this vertex be  $z$ .

- Go to 3A.

4. If  $Y^* = \emptyset$  then all vertices are covered with  $X^\phi$  STOP, else go to 1.

$*X^\phi$  is a minimal cover of  $Y$

As can be seen by the description of algorithm  $\beta$  given above this technique relies on a weighting scheme. It has a worst case complexity  $V^3$  if the degree of connectivity of each vertex is computed in advance and updated in each step;  $V^3$  preprocessing steps required in advance to compute degree of connectivity,  $V$  steps required to update at each iteration. The total complexity of algorithm  $\beta$  is  $\approx V^3$  where

$$V = \max \left[ \text{card} \left[ X \right], \text{card} \left[ Y \right] \right]$$

therefore complexity of the algorithm is:

$$O(V^3).$$

We now present the formal definition of algorithm  $\beta$

Algorithm  $\beta$

$$0. \quad Y^* = Y, X^* = X, X^0 = \emptyset$$

$$1. \quad \tilde{y}^* = \text{any } y_j \in Y^* \mid \text{card } [\Gamma(y_j)] \leq \text{card } [\Gamma(y_k)] \quad \forall j \neq k$$

$$2. \quad Y^* = Y^* - \tilde{y}^*$$

$$3. \quad \text{If Card } [\Gamma(y^*)] > 1, \text{ go to 3B, else let } z = \Gamma(\tilde{y}^*), \text{ go to 3A}$$

$$3A. \quad Y^* = Y^* - \left[ \Gamma \left( \Gamma(\tilde{y}^*) \right) \right], X^* = X^* - \Gamma(\tilde{y}^*), \\ X^0 = X^0 + z \quad \text{go to 4}$$

$$3B. \quad \forall x_i \in \Gamma(y^*),$$

$$x_i(c) = \sum_{y_j \in \Gamma(x_i)} \left( \text{card } [X^*] - \text{card } [\Gamma(y_j)] \right)$$

$$z = x_i \mid x_i(c) \geq x_j(c) \quad \forall i \neq j, (x_i, x_j) \in \Gamma(y^*)$$

if  $\text{card}[z] > 1$  select one element from  $z$  and let that element comprise  $z$

1 Go to 3A

$$4. \quad \text{if } Y^* = \emptyset \quad \underline{\text{STOP}}, (X^0 = \text{solution set}), \text{ else go to 2}$$

## SECTION 5

### EXAMPLE UTILIZING ALGORITHM $\alpha_1$

Presented in this section is an example to demonstrate the application of algorithm  $\alpha_1$ . This will solve the communication problem stated in Section 1.

The network presented in this example was generated randomly.

The objective of algorithm  $\alpha_1$  when applied to the network was to minimize the number of terminals transmitting an information packet such that every unit in a community of interest receives the packet in minimal time.

The network contains 50 units, 23 of which are members of a prespecified community of interest.

Call this set  $V^0$ . If we label each terminal in the network with a number from 2 to 50, our community of interest can be defined as the following set:

$$V^0 = [8, 15, 17, 19, 21, 22, 23, 25, 27, 28, 30, 31, 32, 33, 38, 39, 40, 41, 44, 45, 47, 48, 49]$$

S is the source terminal from where the transmissions originate that the terminals in  $V^0$  wish to monitor.

Figure 1 shows the example network where an edge between two vertices represents a bidirectional communications link.



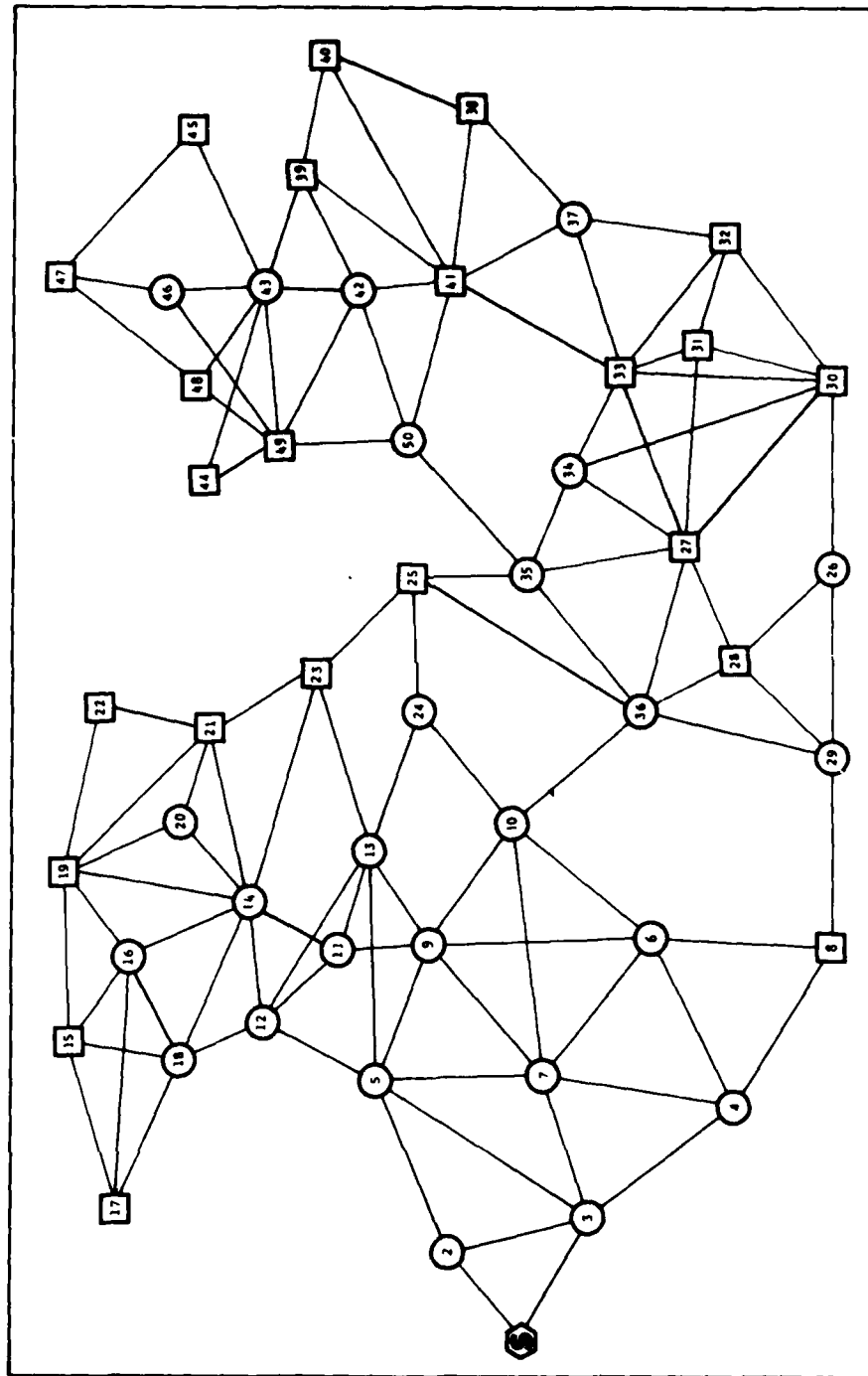


Figure 1  
Example Network

Figure 2 shows the subgraph induced by the shortest paths from the source to all other terminals in the network. These paths were computed using <sup>5</sup>Dijkstra's algorithm, an algorithm commonly used in this application.

Below, algorithm  $\alpha_1$  is applied to the network to produce a minimal broadcast structure.

Algorithm  $\alpha_1$  is shown in each step of its structure through several iterations while algorithm  $\beta$  is stepped through once.

Iteration 1 (algorithm  $\alpha_1$ )

0.  $\theta = 9$
1.  $i = 9$
2.  $A_9 = [45, 47]$
3.  $B_8 = [38, 39, 40, 44, 48]$  (This set defines the vertices in  $V^0$ , the community of interest which are nine relay levels away from the source on their respective shortest paths).

$$4. \text{ Algorithm } \beta \left[ A_9 - \Gamma(B_8, A_9), T(P, 8) - B_8 \right] = Z_8$$

$$\left\{ \begin{array}{l} A_9 - \Gamma(B_8, A_9) = [45, 47] - [47] = [45] \\ T(P, 8) - B_8 = [38, 39, 40, 43, 44, 46, 48] - [38, 39, 40, 44, 48] = [43, 46] \end{array} \right.$$

$$\text{Algorithm } \beta \left[ [45], [43, 46] \right] = [43] = Z_8$$

( $Z_8$  is the set of units not in  $B_8$  that must transmit to relay level 9 in order to reach all units in  $A_9$ )

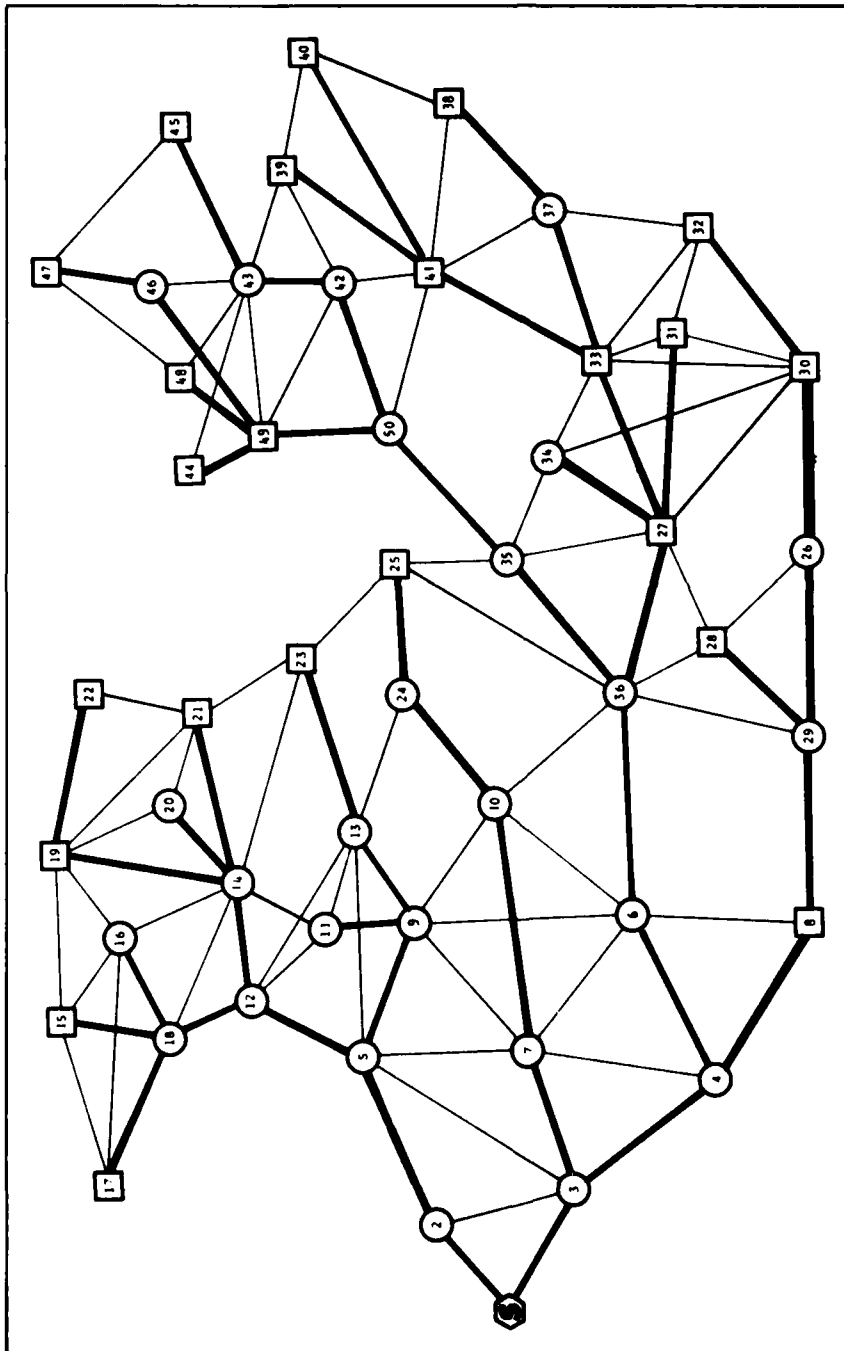


Figure 2  
Broadcast Subnetwork Generated  
by Dijkstra's Algorithm

$$5. \text{ Algorithm } \beta \left[ \Gamma(B_8, A_9), B_8 \right] = Y_8$$

$$\text{Algorithm } \beta \left[ \begin{bmatrix} 47 \end{bmatrix}, \begin{bmatrix} 38, 39, 40, 44, 48 \end{bmatrix} \right] = \begin{bmatrix} 48 \end{bmatrix} = Y_8$$

( $Y_8$  defines the set of terminals in  $B_8$  that must transmit to relay level 9)

$$6. T_9 = Y_8 \cup Z_8 = \begin{bmatrix} 43, 48 \end{bmatrix} \text{ (this constitutes the set of terminals that must relay the information at level 8 for terminals at level 9 to receive)}$$

$$7. R_8 = B_8 \cup Z_8 = \begin{bmatrix} 38, 39, 40, 43, 44, 48 \end{bmatrix} \text{ (this constitutes the set of terminals which must receive messages at relay level 8)}$$

$$8. A_8 = B_8 \cup Z_8 = \begin{bmatrix} 38, 39, 40, 43, 44, 48 \end{bmatrix}$$

$$9. i = i-1 \quad i = 9-1 = 8, 8 > 1 \Rightarrow \text{go to } \underline{3}$$

This starts iteration 2. Figure 3 shows the communication links selected thus far by algorithm  $\alpha_1$ .

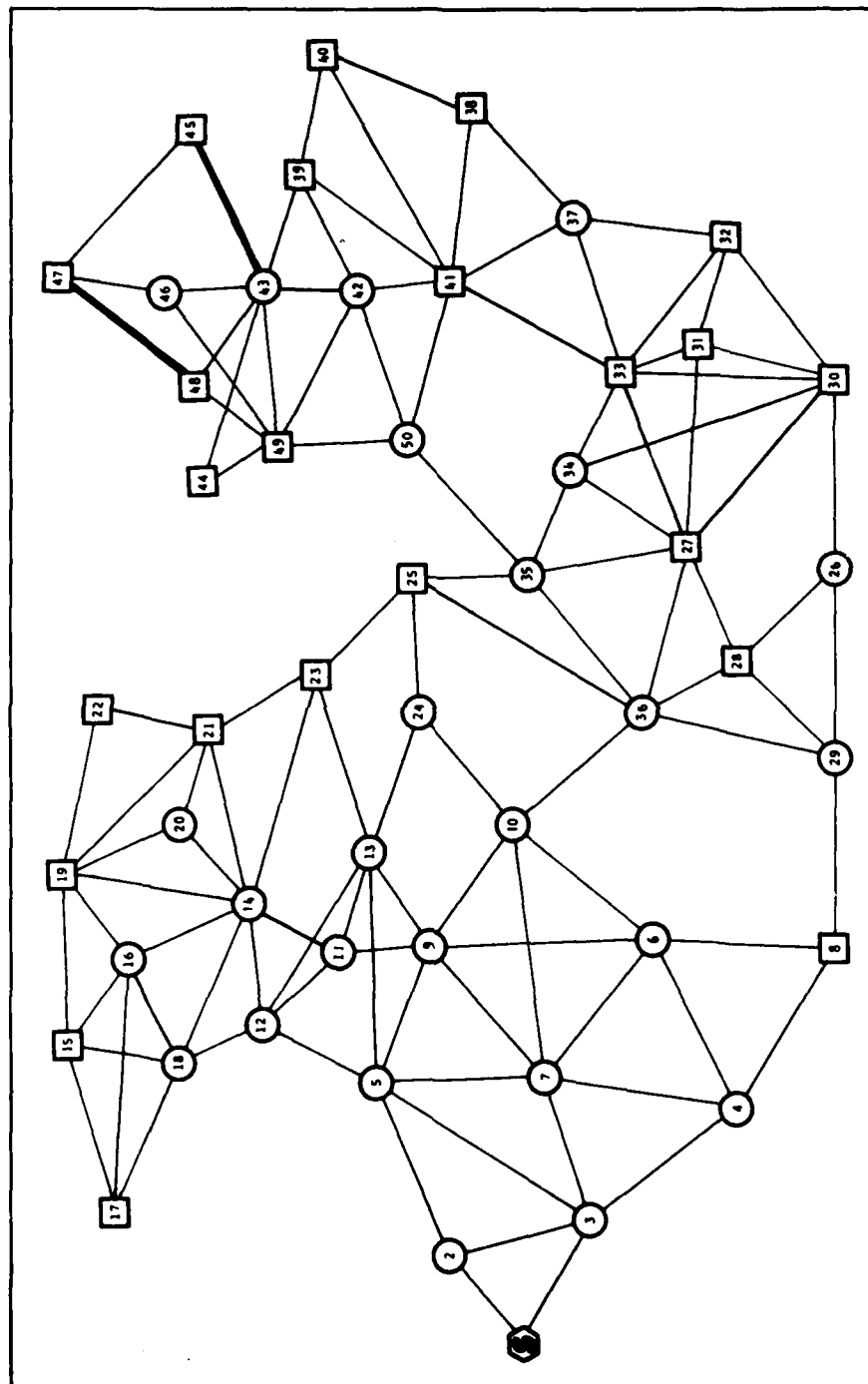


Figure 3  
Subnetwork Produced After Iteration 1  
of Algorithm  $\alpha_1$

### Iteration 2

$$3. \quad B_7 = [32, 41, 49]$$

$$4. \quad \text{Algorithm } \beta \left[ A_8 - \Gamma(B_7, A_8), T(P,7) - B_7 \right] = Z_7$$

(in this case  $\Gamma(B_7, A_8) = [38, 39, 40, 44, 48] = A_8$ )

$$\text{Algorithm } \beta \left[ \emptyset, T(P,7) - B_7 \right] = \emptyset = Z_7$$

$$5. \quad \text{Algorithm } \beta \left[ \Gamma(B_7, A_8), B_7 \right] = Y_7$$

$$\text{Algorithm } \beta \left[ [38, 39, 40, 44, 48], [32, 41, 49] \right]$$

$$= [41, 49] = Y_7$$

$$6. \quad T_8 = [41, 49] \cup \emptyset = [41, 49]$$

$$7. \quad R_7 = [32, 41, 49]$$

$$8. \quad A_7 = [32, 41, 49]$$

$$9. \quad i = 8-1 \text{ go to } 3$$

Figure 4 shows the links selected by algorithm  $\alpha_1$  in the first and second iterations.

Figure 5 shows the links selected in the first through third iterations of algorithm  $\alpha_1$ .

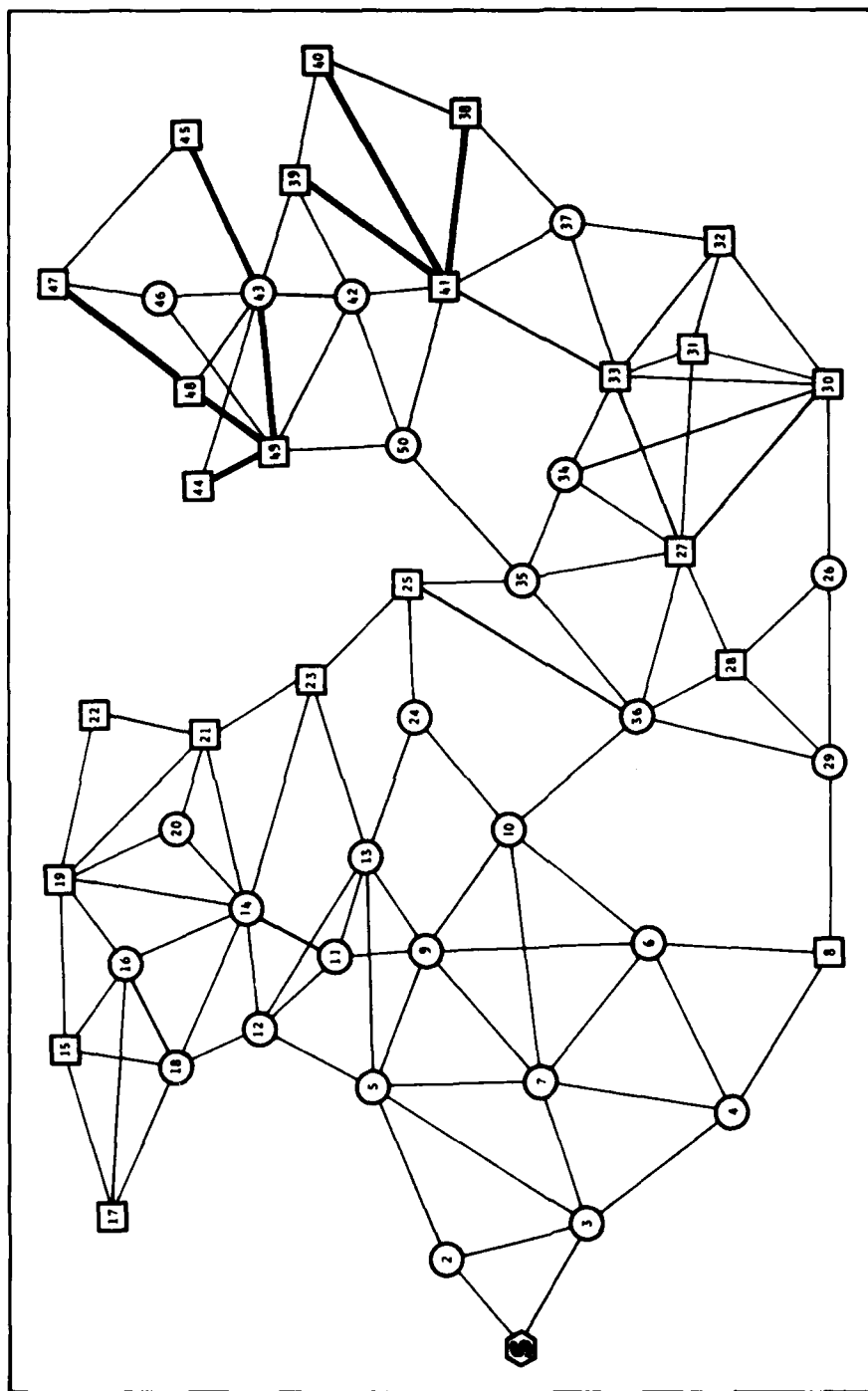


Figure 4  
Subnetwork Produced After Iteration 2  
of Algorithm  $\alpha_1$

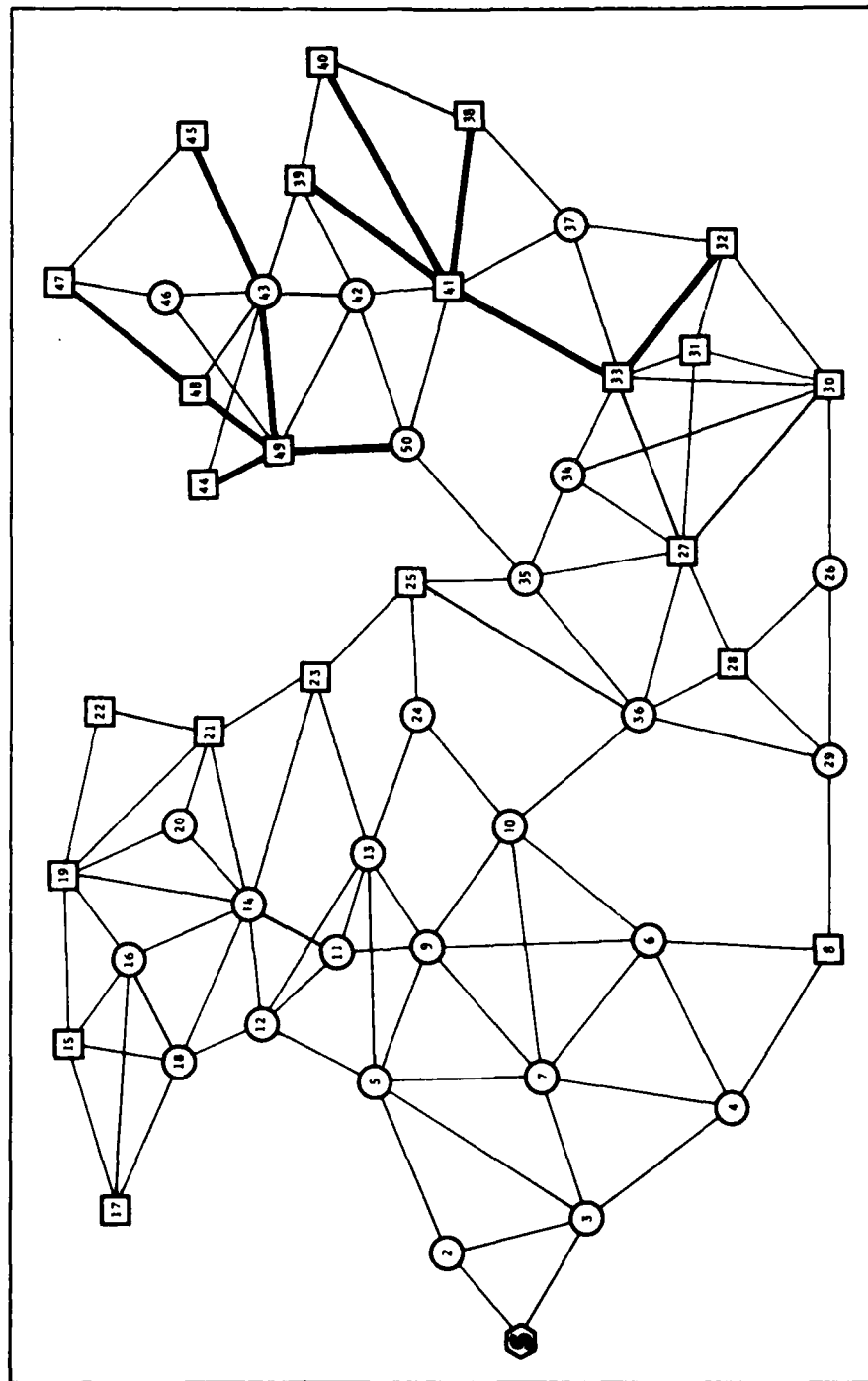


Figure 5  
Subnetwork Produced After Iteration 3  
of Algorithm  $\alpha_1$



Iteration 4 (algorithm  $\alpha_1$ )

$$3. B_5 = [15, 17, 19, 21, 23, 25, 27, 28]$$

$$4. \text{Algorithm } \beta \left[ A_6 - \Gamma(B_5, A_6), T(P, 5) - B_5 \right] = z_5$$

$$\begin{cases} A_6 = [22, 30, 31, 33, 50] \\ \Gamma(B_5, A_6) = [22, 23, 30, 31, 33] \\ T(P, 5) = [15, 16, 17, 19, 20, 21, 23, 25, 26, 27, 28, 35] \end{cases}$$

$$\text{Algorithm } \beta \left[ [50], [10, 20, 26, 35] \right] = [35] = z_5$$

$$5. \text{Algorithm } \beta \left[ \Gamma(B_5, A_6), B_5 \right]$$

$$\text{Algorithm } \beta \left[ [22, 30, 31, 33], [15, 17, 19, 21, 23, 25, 27, 28] \right]$$

Algorithm  $\beta$  will be applied below to find the minimal cover:

$$x^0 \subseteq [15, 17, 19, 21, 23, 25, 27, 28] \text{ of the set } [22, 30, 31, 33]$$

Algorithm  $\beta$

$$\begin{aligned} 0 \quad & Y^* = 22, 30, 31, 33 \\ & X^* = 15, 17, 19, 21, 23, 25, 27, 28 \\ & x^0 = \emptyset \end{aligned}$$

$$1 \quad \tilde{y}^* = 22$$

$$2 \quad Y^* = Y^* - [22] = [30, 31, 33]$$

$$3 \quad \begin{array}{l} \text{card } [\Gamma(Y^*)] = 1 \\ \Gamma(Y^*) = Z = [19] \end{array} \quad \text{go to 3A}$$

$$3A \quad \begin{array}{l} Y^* = [22, 30, 31, 33] - [22] = [30, 31, 33] \\ X^* = [15, 17, 19, 21, 23, 25, 27, 28] - [19] = \\ \quad [15, 17, 21, 23, 25, 27, 28] \\ X^0 = [19] \end{array}$$

$$4 \quad Y^* = \emptyset \quad \text{go to 1}$$

$$1 \quad Y^* = [30]$$

$$2 \quad Y^* = Y^* - [30] = [31, 33]$$

$$3 \quad \begin{array}{l} \Gamma(\tilde{Y}^*) = [27] \\ \text{card } [27] = 1 \\ Z = [27] \end{array} \quad \text{go to 3A}$$

$$3A \quad \begin{array}{l} Y^* = [30, 31, 33] - \Gamma(27) = [30, 31, 33] - \\ \quad [30, 31, 33] = \emptyset \\ X^* = [15, 17, 21, 23, 25, 27, 28] - [27] \\ X^0 = [19, 27] \end{array}$$

$$4 \quad Y^* = \emptyset \text{ STOP, } X^0 \text{ is a minimal cover}$$

(end of algorithm  $\beta$ )

$$5. \Rightarrow \text{Algorithm } \beta \left[ (B_5, A_6), B_5 \right] = [19, 27] = Y_5$$

$$6. T_6 = [35] \cup [19, 27] = [19, 27, 35]$$

$$7. R_5 = [35] \cup [15, 17, 19, 23, 25, 27, 28] \\ = [15, 17, 19, 23, 25, 27, 28, 35]$$

$$8. A_5 = [15, 17, 19, 23, 25, 27, 28, 35]$$

$$9. i = 6-1 = 5 \Rightarrow \text{go to 3}$$

Figure 6 shows the links selected after the first through fourth iterations of algorithm  $\alpha_1$ .

Figures 7, 8, 9, 10 show links selected through iterations 5, 6, 7, and 8 of algorithm  $\alpha_1$ .

Figure 11 shows the final minimal broadcast networks produced by algorithm  $\alpha_1$ .

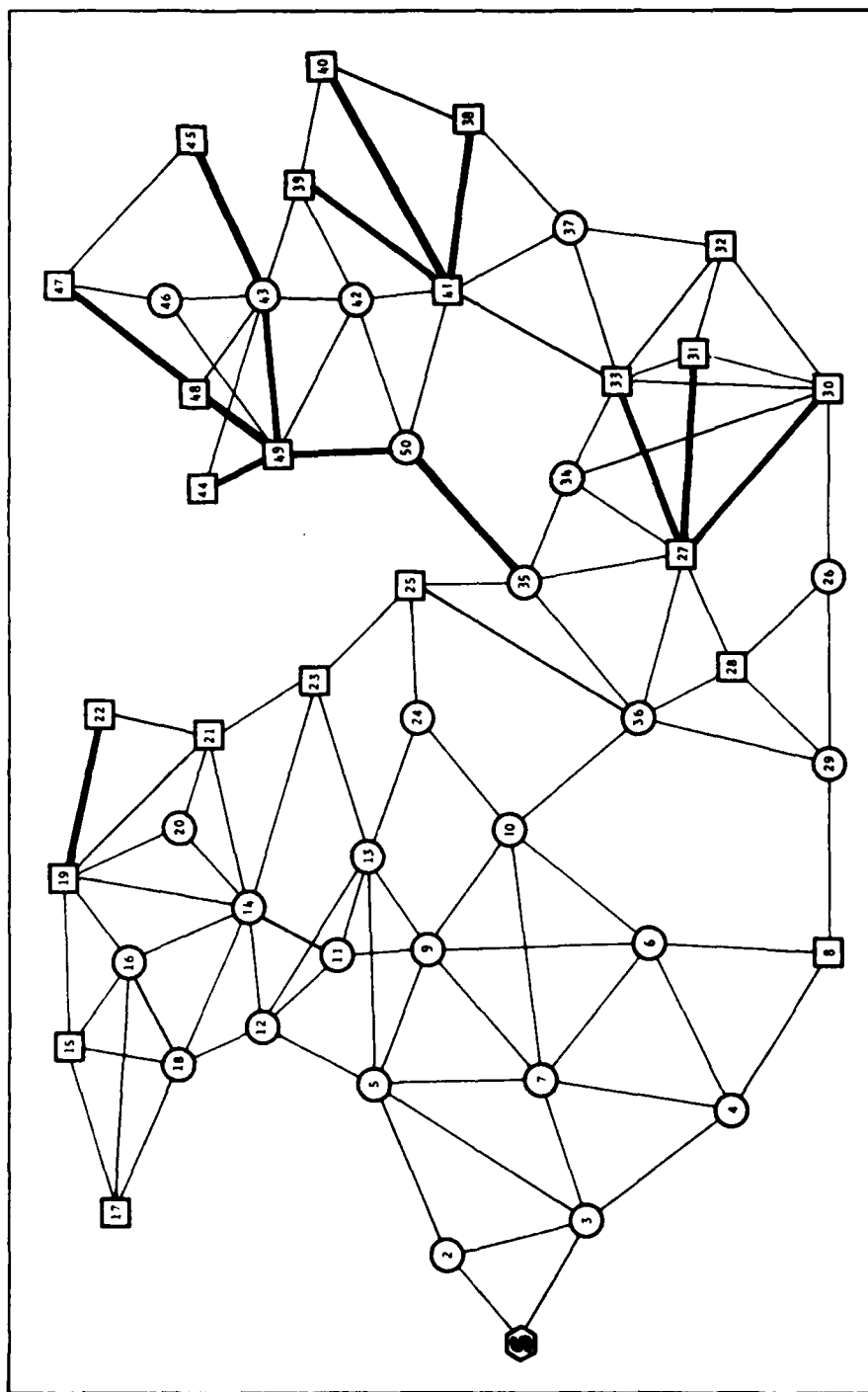


Figure 6  
Subnetwork Produced After Iteration 4  
of Algorithm  $\alpha_1$

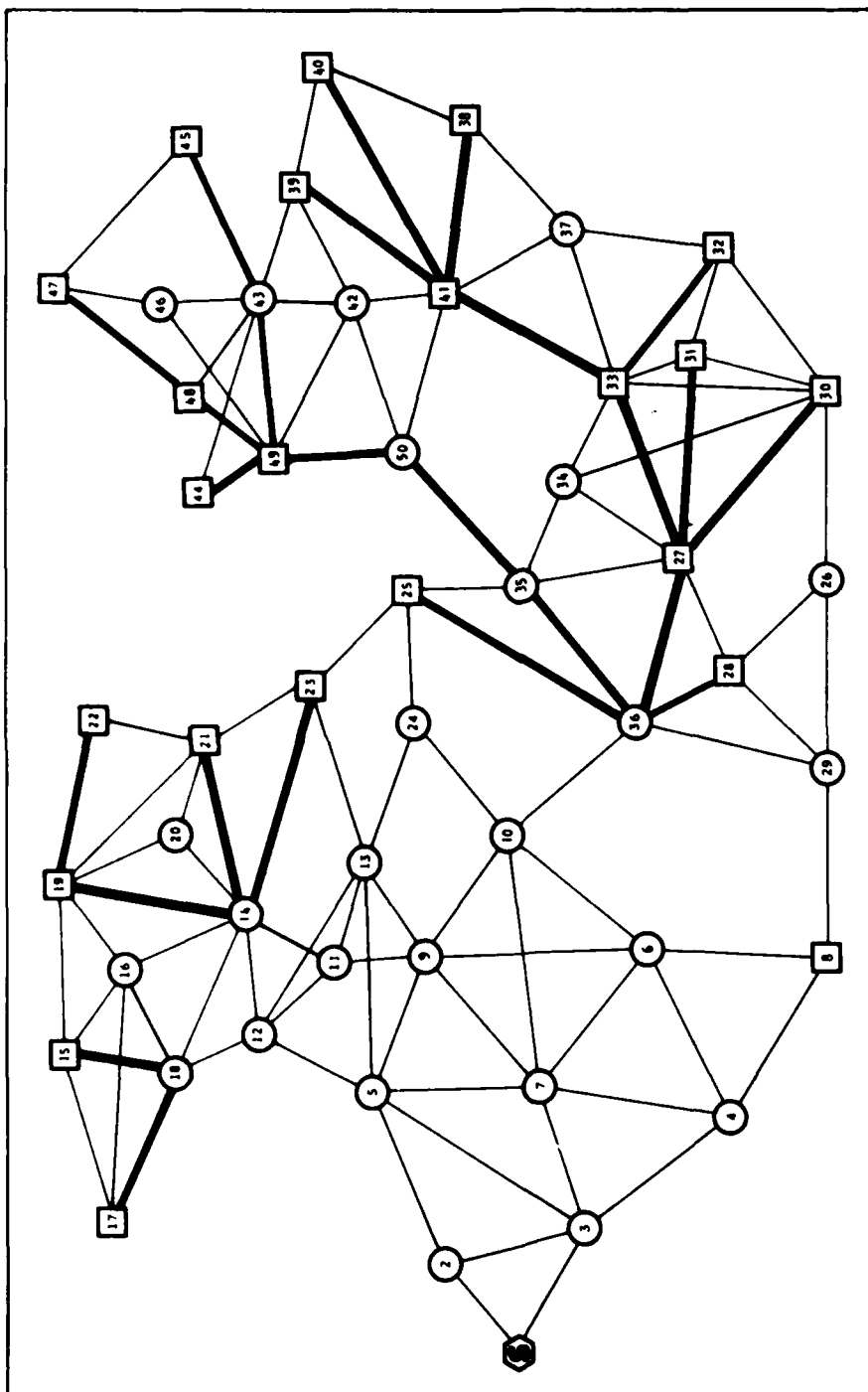
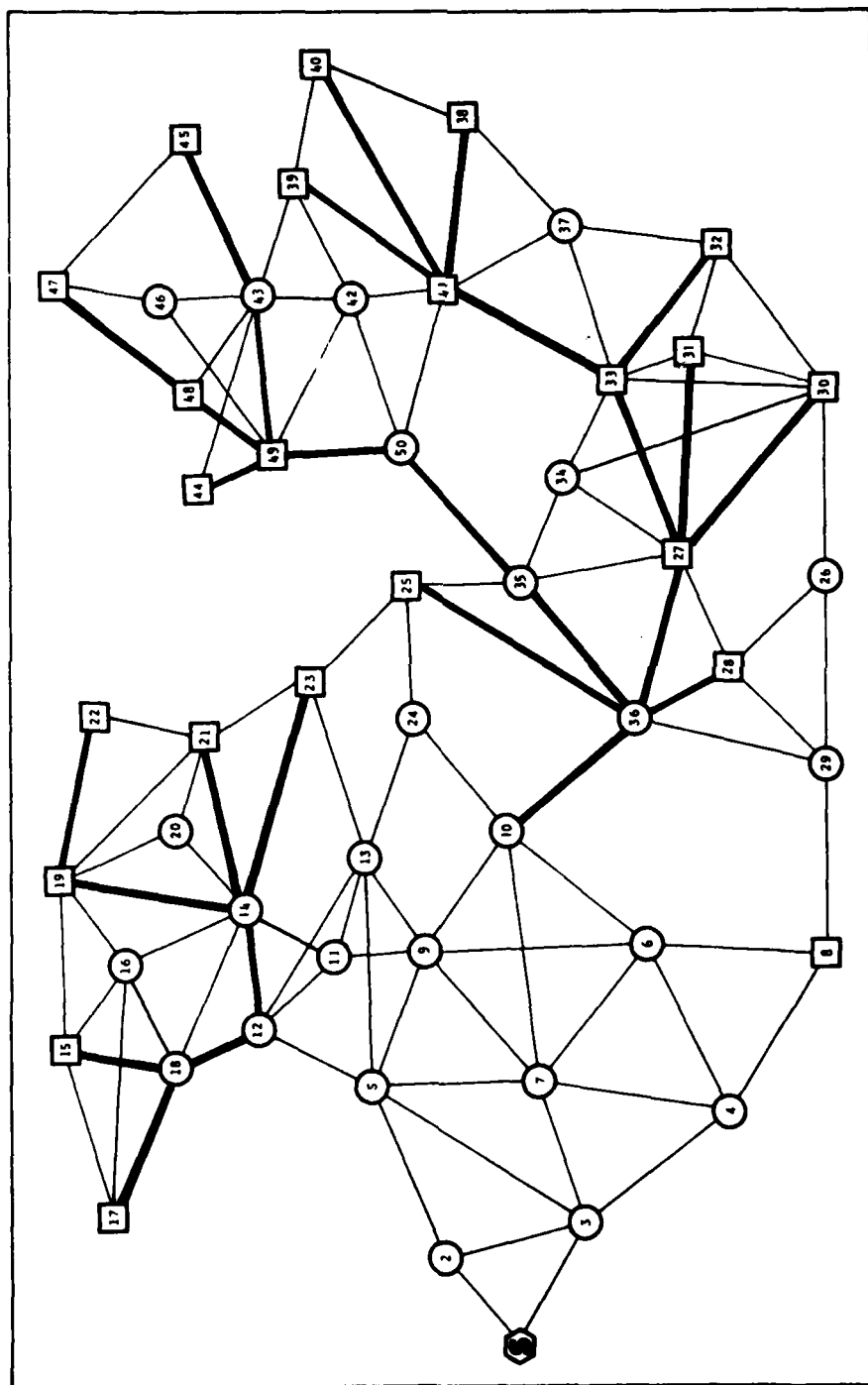


Figure 7  
Subnetwork Produced After Iteration 5  
of Algorithm  $\alpha_1$



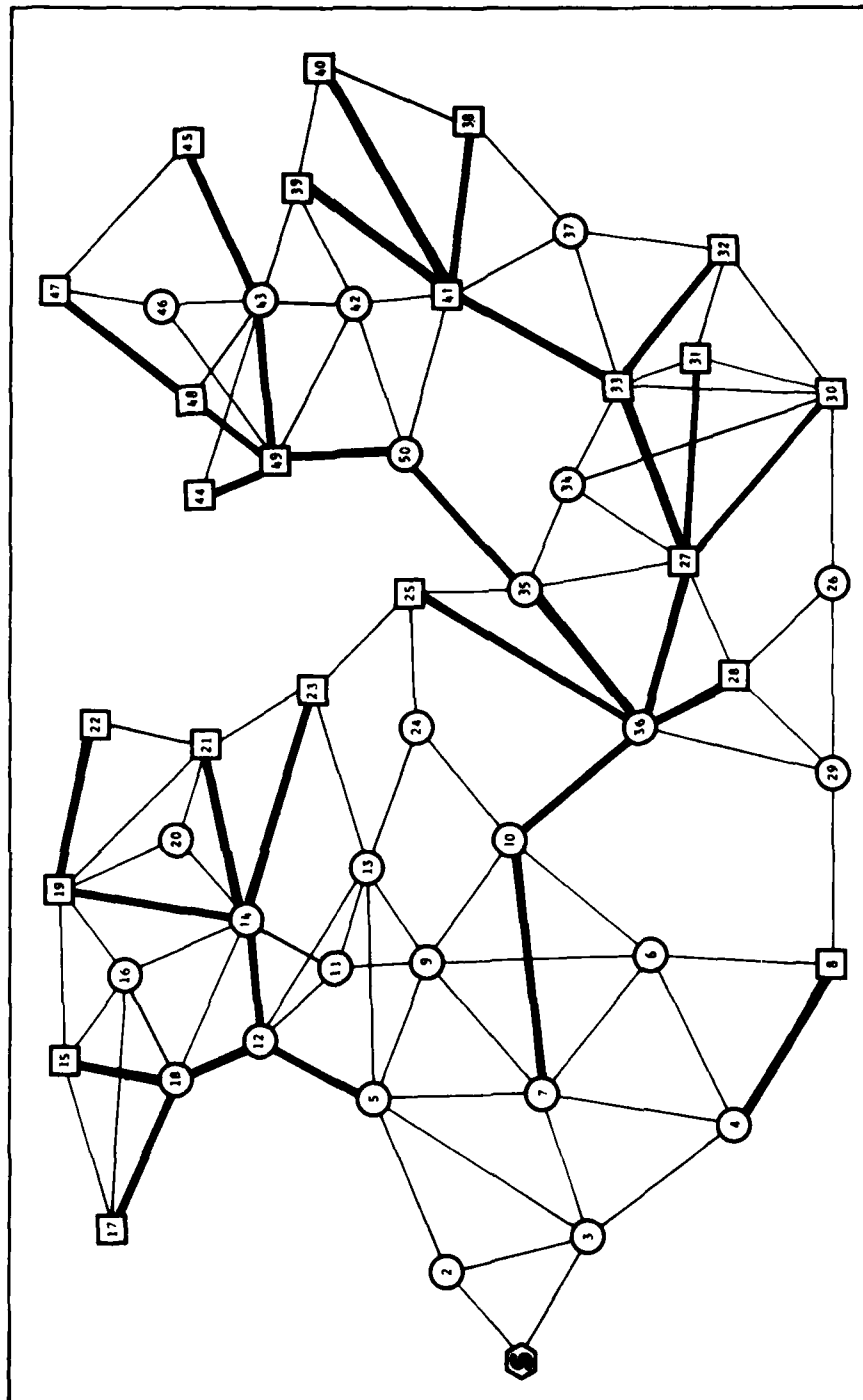


Figure 9  
Subnetwork Produced After Iteration 7  
of Algorithm  $A_1$

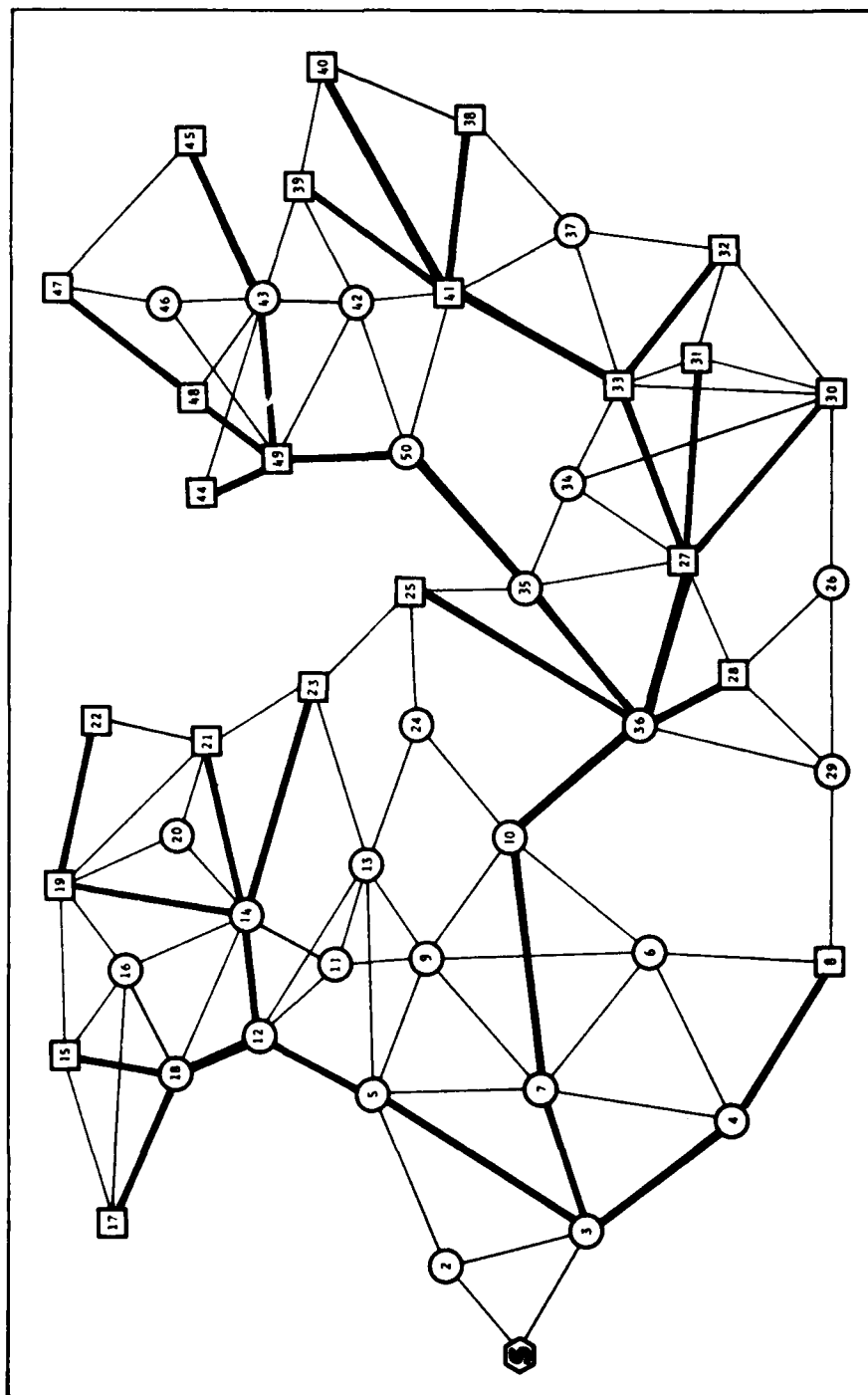


Figure 10  
Subnetwork Produced After Iteration 8  
of Algorithm  $\alpha_1$



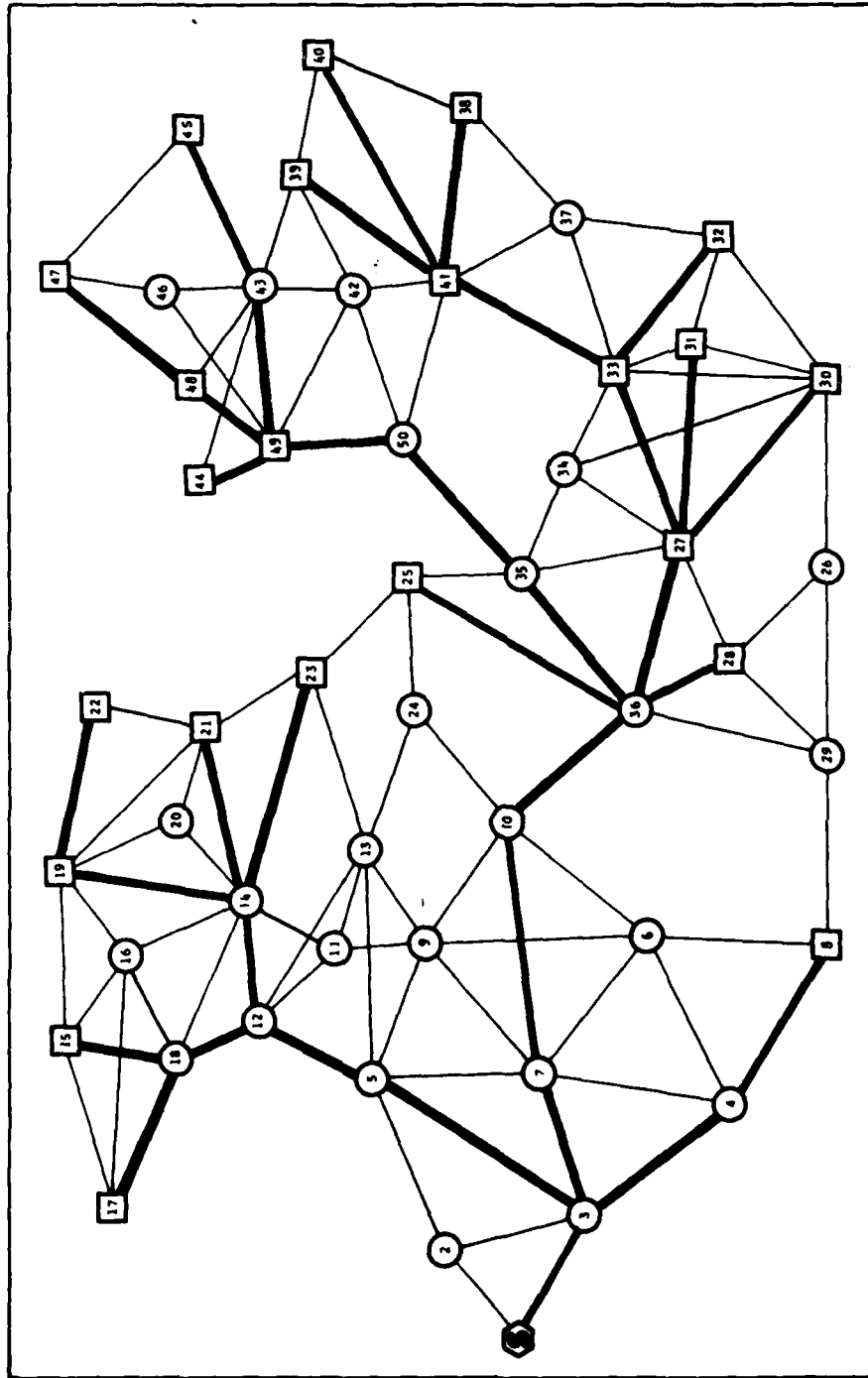


Figure 11  
Reduced Broadcast Network  
Generated by Algorithm  $\alpha_1$

Table 1 shows the transmissions by relay level in the broadcast network given by Dijkstra's algorithm compared to those in the broadcast network generated by algorithm  $\alpha_1$

TABLE 1

Performance of Algorithm  $\alpha_1$

Relay Level	Dijkstra		Algorithm $\alpha_1$		% Difference	
	Trans.	Rec.	Trans.	Rec.	Trans.	Rec.
0	1	2	1	1	0	-50
1	2	3	1	3	-50	0
2	3	5	3	3	0	-40
3	5	6	2	3	-60	-50
4	6	11	3	8	-50	-27.3
5	5	6	3	5	-40	-16.7
6	2	5	2	3	0	-40
7	4	6	2	6	-50	0
8	2	2	2	2	0	0
Totals	30	46	19	33	-36.7	-28.3

It is clear in this example that algorithm  $\alpha_1$  produces a dramatically reduced broadcast network compared to that generated by Dijkstra's algorithm while maintaining the minimal delay characteristics of Dijkstra's results.

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